

**FILE COPY**Receipt  
+PTO/SB/21 (modified)  
Approved for use through xx/xx/xx, OMB 0651-0031  
Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE0001/PTO  
Rev. 10/95U.S. Department of Commerce  
Patent and Trademark Office**TRANSMITTAL FORM**(to be used for all correspondence during pendency of  
filed application)

Application Number	09/844,790
Filing Date	April 26, 2001
First Named Inventor	Michael D. Doyle
Group Art Unit Number	2131
Examiner Name	Not yet known
Total Number of Pages in This Submission	9
Attorney Docket Number	23249-06889

RECEIVED

**ENCLOSURES (check all that apply)**

OCT 18 2002

<input type="checkbox"/> Fee Transmittal Form (in duplicate) <input type="checkbox"/> Check Enclosed	<input type="checkbox"/> Issue Fee Transmittal
<input checked="" type="checkbox"/> Return Receipt Postcard	<input type="checkbox"/> Letter to Chief Draftsperson
<input type="checkbox"/> Response to Notice to File Missing Parts	<input type="checkbox"/> Formal Drawing(s): [ ] Sheet(s) of Figure(s) [ ]
<input type="checkbox"/> Assignment & Recordation Cover Sheet	<input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences
<input type="checkbox"/> Declaration	<input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)
<input type="checkbox"/> Power of Attorney	<input type="checkbox"/> Certified Copy of Priority Document(s)
<input type="checkbox"/> Application Data Sheet	<input type="checkbox"/> After Allowance Communication to Group
<input type="checkbox"/> Information Disclosure Statement & PTO-1449 <input type="checkbox"/> Copies of IDS Cited References	<input checked="" type="checkbox"/> Filing Receipt (copy)
<input checked="" type="checkbox"/> Request for Corrected Filing Receipt	<input type="checkbox"/>
<input type="checkbox"/> Request for Correction of Recorded Assignment	<input type="checkbox"/>
<input checked="" type="checkbox"/> Amendment/Response: [4] Page(s) (copy) <input type="checkbox"/> After Final	<input type="checkbox"/>
<input type="checkbox"/> Status Request	<input type="checkbox"/>
<input type="checkbox"/> Revocation and Substitute Power of Attorney	<input type="checkbox"/>

Technology Center 2100

REMARKS:

**SIGNATURE OF ATTORNEY OR AGENT**

Signature:		
Attorney/Reg. No.:	Jeffrey Brill, Reg. No. 51,198	Dated: 9/27/02

**CERTIFICATE OF MAILING**

I hereby certify that this correspondence, including the enclosures identified above, is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, Office of Initial Patent Examination, Customer Service Center, Washington, D.C. 20231 on the date shown below. If the Express Mail Mailing Number is filled in below, then this correspondence is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service pursuant to 37 CFR 1.10.

Signature:		
Typed or Printed Name:	Jeffrey Brill	Dated: 9/27/02
Express Mail Mailing Number (optional):		

23249/06889/DOCS/1296664.1



IN THE UNITED STATES  
PATENT AND TRADEMARK OFFICE

APPLICANTS: Michael D. Doyle, Robert G. Hamilton, Marc V. Perrone, Paul F. Doyle and Glenn W. Bernsohn

APPLICATION NO.: 09/844,790

FILING DATE: April 26, 2001

TITLE: System And Method For Graphical Indicia For The Certification Of Records

EXAMINER: Not yet known

GROUP ART UNIT: 2131

ATTY. DKT. NO.: 23249-06889

RECEIVED

OCT 18 2002

Technology Center 2100

**CERTIFICATE OF MAILING**

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner For Patents, Office of Initial Patent Examination, Customer Service Center, Washington, D.C. 20231, on the date shown below:

Dated: 9/27/02

By: Jeffrey Brill  
Jeffrey Brill, Reg. No.: 51,198

COMMISSIONER FOR PATENTS  
OFFICE OF INITIAL PATENT EXAMINATION  
CUSTOMER SERVICE CENTER  
WASHINGTON, DC. 20231

**REQUEST FOR CORRECTED FILING RECEIPT**

SIR:

Enclosed is a copy of the Official Filing Receipt. It contains the following error:

1. The Official Filing Receipt indicates that the above-referenced application claims the benefit of 60/059/455, filed on 09/22/1997, 60/200,328, filed on 04/28/2000, and 60/200,372, filed on 04/28/2000. The above-referenced application has been amended to only claim the benefit of **60/200,328, filed on 04/28/2000, and 60/200,372, filed on 04/28/2000.** This is evidenced by the preliminary amendment filed on September 12, 2002, a copy of which is enclosed. This Filing Receipt should be corrected to indicate this Domestic Priority Data.

Please issue a corrected Filing Receipt rectifying this error.

Respectfully submitted,  
MICHAEL D. DOYLE, ROBERT G.  
HAMILTON, MARC V. PERRONE, PAUL F.  
DOYLE AND GLENN W. BERNSOHN

Dated: \_\_\_\_\_

9/27/02

By: \_\_\_\_\_



Jeffrey Brill, Reg. No.: 51,198

Fenwick & West LLP

Two Palo Alto Square

Palo Alto, CA 94306

Tel.: (650) 858-7291

Fax.: (650) 494-1417

23249/06889/DOCS/1296961.1



## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO.	DRAWINGS	TOT CLAIMS	IND CLAIMS
09/844,790	04/26/2001	2131	420	021117-000100US	25	2	1

20350

TOWNSEND AND TOWNSEND AND CREW LLP  
TWO EMBARCADERO CENTER  
EIGHTH FLOOR  
SAN FRANCISCO, CA 94111-3834



CONFIRMATION NO. 1685

UPDATED FILING RECEIPT



\*0000000007759945\*

Date Mailed: 04/01/2002

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

## Applicant(s)

Michael D. Doyle, Wheaton, IL;  
Robert G. Hamilton, Oak Park, IL;  
Marc V. Perrone, Shorewood, WI;  
Paul F. Doyle, Grand Rapids, MI;  
Glenn W. Bernsohn, Evanston, IL;

## Assignment For Published Patent Application

Proofspace, Inc, Chicago, IL;

RECEIVED

OCT 18 2002

Technology Center 2100

## Domestic Priority data as claimed by applicant

~~THIS APPLN CLAIMS BENEFIT OF 60/060,455 09/22/1997~~  
AND CLAIMS BENEFIT OF 60/200,328 04/28/2000  
AND CLAIMS BENEFIT OF 60/200,372 04/28/2000

## Foreign Applications

If Required, Foreign Filing License Granted 06/26/2001

Projected Publication Date: 07/11/2002

Non-Publication Request: No

Early Publication Request: No

**\*\* SMALL ENTITY \*\*****Title**

System and method for graphical indicia for the certification of records

**Preliminary Class**

713

---

**LICENSE FOR FOREIGN FILING UNDER  
Title 35, United States Code, Section 184  
Title 37, Code of Federal Regulations, 5.11 & 5.15**

**GRANTED**

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 37 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Office of Export Administration, Department of Commerce (15 CFR 370.10 (j)); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

**NOT GRANTED**

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).